**U.S. PTO** 

IAPIOREC' DEC 2005 PCT

FORM PTO-1390 (REV 12-2004)

### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY DOCKET NUMBER

17233.013

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)
TBA 10/560271

3		2004/005604	25 May 2004	10 June 2003							
TITLE OF INVENTION											
WATER TREATMENT DEVICE APPLICANT(S) FOR DO/EO/US											
FLETTNER, Marc											
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:											
1.	$\boxtimes$	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.	$\boxtimes$	The US has been elected. (Article 31).									
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	ъ. [	<ul> <li>is attached hereto (required only if not communicated by the International Bureau).</li> <li>has been communicated by the International Bureau.</li> <li>is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>									
6.	$\boxtimes$	An English language translation of the International application as filed (35 U.S.C. 371(c)(2)).									
		is attached hereto has been previously submitted under 35 U.S.C. 154(d)(4).									
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
	b. [ с. [	□ are attached hereto (required only if not communicated by the International Bureau). □ have been communicated by the International Bureau. □ have not been made; however, the time limit for making such amendments has NOT expired. □ have not been made and will not be made.									
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10.		An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Iter	ns 11	to 20 below concern document(s)	or information included:								
11.	$\boxtimes$	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13.	$\boxtimes$	A preliminary amendment.									
14.		An Application Data Sheet under 37 CFR 1.76.									
15.		A substitute specification.									
16.		A power of attorney and/or change of address letter.									
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825.									
18.	$\boxtimes$	A second copy of the published international application under 35 U.S.C. 154(d)(4).									
19.	$\boxtimes$	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20.	$\boxtimes$	Other items or Information:									
		PCT International Search Report									

## 1AP13 NGC'4 PGT/PTO 08 DEC 2005

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U.S. APPLICATION NO. (III MANUELLE 97)C. P. R. 1.2) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NO.				
TBA		PCT/EP2004/005604			17233.013				
21. The following fe		<u> </u>							
a) Basic national	al fee		\$30	0.00	\$ 300.00				
	ı fee	0.00	\$ 200.00						
□ C) Search fee			\$50	0.00	\$ 500.00				
	E CALCULATION	\$ 1000.00							
(excluding seat	for specification and uence listing or comp fee is \$250 for each a								
Total Sheets		Number of each addition thereof (round <b>up</b> to a w		RATE					
26- 100 =0	0/50 =	0	x \$250.00		\$				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).									
CLAIMS	NUMBER FILED	NUMBER EXTRA	NUMBER EXTRA RATE		\$				
Total claims	14 - 20 =	0	x \$50.0	0	\$				
Independent claims	1 - 3 =	0	x \$200.00		\$				
	DENT CLAIM(S) (if app	-	+ \$360.00		S				
		TOTAL OF	ABOVE CALCUI	LATIONS =	\$1,000.00				
Applicant clain reduced by ½.		See 37 CFR 1.27. Th	ne fees indicated a	bove are	\$500.00				
				TOTAL =	\$500.00				
Processing fee of \$1 the earliest claimed	130.00 for furnishing priority date (37 CFI	the English translatio R 1.492(f)).			\$				
		r	TOTAL NATION		\$500.00				
Fee for recording th accompanied by an	e enclosed assignment appropriate cover sh	nt (37 CFR 1.21(h)). 7 eet (37 CFR 3.28, 3.3	The assignment model. \$40.00 per pro	ust be perty +	\$0				
			OTAL FEES ENC		\$500.00				
					Amount to be				
					refunded: Amount to be	\$			
					charged:	\$500.00			
a. A check in the amount of \$500.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 03-1240, order no. 17233.013 in the amount of \$500.00 to cover the above fees.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1240, order no. 17233.013. A duplicate copy of this sheet is enclosed.  d Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petitionate revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Joseph A. Calvaruso Chadbourne & Parke LLP 30 Rockefeller Plaza New York NY 10112  Name: Richard Martinelli REGISTRATION NUMBER: 52,003									

Form PTO-1390 (REV 12-2004)

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10/56**0271** 

Docket No. 17233.013

**PATENT** 

# IN THE UNITED STATES PATENT AND WARDEMARK OFFICE 08 DEC 2005

Applicant(s):

Marc FLETTNER

Group Art Unit:

TBA

Serial No.:

**TBA** 

Examiner:

**TBA** 

Filed:

December 8, 2005

For:

WATER TREATMENT DEVICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **EXPRESS MAIL CERTIFICATE**

Express Mail Label No.: EV756842054 US

Date of Deposit: December 8, 2005

I hereby certify that the following attached paper(s) and/or fee

- 1 Transmittal Letter to the United States Designed/Elected Office (enclosing 2 copies of the published international application WO 2004/108607, International Application as filed, PCT International Search Report)
- 2. Translation of International Application as filed (2 Copies) with copy of Certificate of Accuracy (15pages Spec, Drawings 8)
- 3. Preliminary Amendment
- 4. Unexecuted Declaration and Power of Attorney
- 5. Information Disclosure Statement
- 6. Form PTO-1449 (enclosing cited references)
- 7. Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ariel Y. Hadptman

(Typed or printed name of person mailing papers(s) and/or

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

CHADBOURNE & PARKE L.L.P. 30 Rockefeller Plaza New York, NY 10112 212-408-5100 Telephone 212-541-5369 Facsimile

NY2 - 437351.01



### IAP13 Rac'd TGT/PTO 08 DEC 2005

City of New York, State of New York, County of New York

I, Lauren Chiang, hereby certify that the following is, to the best of my knowledge and belief, a true and accurate translation of the patent application entitled "Water Treatment Unit" from German into English. The translator is a translator of the German and English languages by profession and is associated with TransPerfect Translations. This translation went through our three step quality process and was reviewed by the linguist, the Quality Manager, and the Project Manager.

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SAN DIEGO

SAN FRANCISCO

SEATTLE

WASHINGTON, DC

Sworn to before me this

Laureh Chiang

8<sup>th</sup> Day of December 2005

Signature, Notary Public

MEATHER BOSLEY
Notary Public - State of New York
No. 01BO6116856
Qualified in NEW YORK County
My Commission Expires OCT 12. 2007

Stamp, Notary Public State of New York